



3rd ARMA International Roundtable on Information Governance and Records Management

Speakers List

Mr. Douglas P. Allen CRM, CDIA+, President Elect, ARMA International

Bio: Douglas P. Allen, CRM, CDIA+ is the President-Elect of ARMA International, and works as the Business Development Manager for Information Outsource Services for Global 360's Work Management Group. He is a 34 year industry veteran and a 32 year veteran of ARMA International. Doug writes regularly about issues pertaining to Records and Information Management on his blog site (<http://www.rimthought.com>) and maintains certifications as a Certified Records Manager (CRM), and Certified Document Imaging Architech (CDIA+). He served previously on the ARMA International Board between 1991 and 1995 and has also served as a member of the Board of Directors of AIIM (the Association for Information and Image Management).



Mr. Mike Marsh, Chairman, ARMA International in Europe

Bio Mike Marsh has been a Records Management professional since 1988, and was one of the first to be awarded a Master of Science Degree (MSc) in Records Management by Distance Learning whilst in full-time employment. He has always been active within professional associations, and is currently the Chair of ARMA's International Relations Committee, and Manager of the new ARMA Europe Region. He is a past-Chairman and Honorary Life Member of the Records Management Society of Great Britain; and a Professional Member of the Association of Information Management (AIIM) Europe.

Mike's RM career began in 1987 when he was appointed Departmental Records Officer (DRO) for the UK Departments of Health and Social Security. He initiated a RM Training Programme for their HQ, regional and local offices; and liaised with the National Archives to ensure compliance with the UK Public Record Acts. As Chief Registrar he directed a network of Classified File Registries and secure off-site archival storage facilities. He introduced procedures and technologies that facilitated compliance with 'Open Government' (the precursor for the Freedom of Information Act) and improved services for historians and other accredited researchers.

He helped develop University Degree courses and National Vocational Qualifications (NVQs) in Archives and Records Management, and was an External Verifier for the UK's NVQ Awarding Body. He co-authored guidelines for managing electronic records and digital archives, and for implementing the UK's Freedom of Information and Data Protection Acts; and supported the drafting of ISO-15489.

Mike has initiated and directed several Corporate Records Management Programmes and EDRMS Projects in both the Private and Public sectors. He advocates minimizing business risk by applying the DIRKS Methodology and Best Practice record-keeping requirements of ISO-15489 to achieve compliance with a wide range of business, legal, regulatory, and audit requirements. He is in demand as a speaker at conferences and seminars in the UK and abroad.

In 2007 he formed his own Geneva-registered Records and Information Management Compliance consultancy: **Mike Marsh Consulting Sàrl**. E-mail: mikemarsh@bluewin.ch and has clients in Switzerland, Belgium, France, Russia, the United Arab Emirates, and the UK.

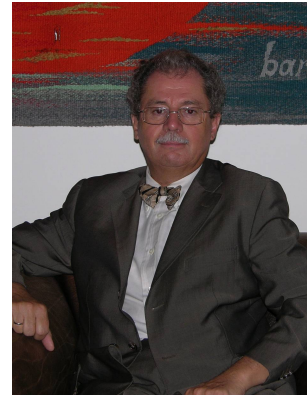




Mr. Marc Maes, Commission Staff Member, Secretariat General, European Commission

Abstract: The Review of the Regulation 1049/2001 on Access to Documents

The right of access to documents or information is a feature of democracy. As almost all Member States of the European Union have laws on freedom of information or public access to documents, the right of access to documents of the European institutions (Parliament, Council and Commission) was inserted in 1996 in the Treaty establishing the European Community (Article 255 of the EC Treaty as amended by the Treaty of Amsterdam). This right was implemented through Regulation (EC) No 1049/2001 of 30 May 2001 regarding public access to European Parliament, Council and Commission documents. In November 2005, the Commission launched a "European Transparency Initiative" which included a review of this Regulation. A Green Paper, published in April 2007, triggered a public consultation on access to documents. On 30 April 2008, the Commission submitted a proposal amending the public access Regulation. This proposal takes into account the experience gathered during the six years of application of the Regulation, the case law of the European Courts, the outcome of the public consultation and the recommendations of the European Parliament. Its purpose is to enhance transparency in the field of EU law making, to move as much as possible towards a single legal framework for access to information, including environmental information, and to clarify the limits for access to documents related to administrative procedures.



Bio: Marc Maes was born in Brussels in 1948. He got the Law degree from the University of Leuven. Joined the Commission in 1980. Worked in the team that set up the CELEX database on Community law and made it available to the public. Moved to the Secretariat-General in 1991. Assignments as liaison officer with the Economic and Social Committee, the Committee of the Regions and the European Parliament. Joined the unit in charge of transparency and public access to documents in November 2000. Head of the team monitoring the implementation of the Regulation on public access in the Commission. The team handles appeals against refusals to grant access. Is also in charge of the review of the Regulation.

Mr. Fergal Ó Regan, Head of Legal Unit, European Ombudsman's Office

Abstract: The View from the Ombudsman

On 30 April 2008, the Commission presented a proposal for a regulation, which, if finally adopted, will replace Regulation 1049/2001 regarding public access to European Parliament, Council and Commission documents. On 2 June, the European Ombudsman informed the European Parliament's Civil Liberties, Justice and Home Affairs Committee of his initial views as regards the proposal. While the Ombudsman welcomed certain positive aspects of the proposal, he noted that the proposal does raise a number of important concerns.



Bio: Fergal Ó Regan is currently Head of Legal Unit and Principal Legal Advisor to the European Ombudsman. Mr Ó Regan's varied academic career includes studies in Ireland (Trinity College Dublin), Spain (Universidad de Deusto, Bilbao), France (Université d'Aix-Marseille) and Belgium (College of Europe, Bruges). Mr Ó Regan worked in a major Brussels law firm from 1998-2006 where he specialised in competition law. He joined the services of the Ombudsman in 2006 as a Principal Legal Advisor.



He was appointed Head of Legal Team in 2007 and Head of Legal Unit in 2008. Mr Ó Regan's areas of interest include access to documents and access to information, procedural rights and fundamental rights.

Mr. Hielke Hijmans, Coordinator Legislative Consultation and Legal Advisor, European Data Protection Supervisor

Bio: Hielke Hijmans (1960) has worked as legal advisor at the Offices of the European Data Protection Supervisor (EDPS) since 2004. He is responsible for the coordination of the advisory policy, represents the EDPS at the European Court of Justice and is especially focussed on the privacy deficits of the Passenger Name Records (PNR), and the public access to documents. Between 2000 and 2004, he had been Advocate-General Ad Geelhoed's legal secretary at the Court of Justice of the European Communities. Until 2000 he has been working for the Dutch Government in several functions, most recently as counsellor for the Minister of Justice, on legislative quality, EU-law and the consequences of ICT for legislation.

Ms. Ineke Deserno, Ph.D. Candidate, Monash University

Bio: Ineke Deserno holds a masters degree of the Radboud University in the Netherlands, followed by post-graduate education at the School for Information Management in the Hague, Netherlands. She also completed a post-masters certificate in records management at the University of British Columbia in Vancouver, Canada and has over 15 years of professional experience in international organisations. Ineke is the former Head Records and Archives at the World Health Organisation (WHO) in Geneva, Switzerland. Previous positions included Project Manager for the implementation of an electronic document management system at the International Olympic Committee (IOC) in Lausanne, Switzerland and Electronic Records Archivist at the United Nations High Commissioner for Refugees (UNHCR), Geneva, Switzerland. She is currently pursuing a PhD at the Monash University, Melbourne, Australia. The title of her research is: corporate transparency and recordkeeping: the evidence base for sustainability reporting. Ineke is an active member of ARMA International, she is a member of the ARMA Public Policy Group and the ARMA Europe Steering Committee. She is also an active member of the International Council on Archives where she serves on the Programme Committee and the ICA AtoM Steering Committee.





Ms. Elisabeth Lomas, Tutor & Researcher, Northumbria University

Bio: Elisabeth is a tutor on Northumbria University's Records Management MSc and Information Rights LLM and a doctoral student in Records Management. Her research concentrates on confronting the challenges of managing records/data held within information communication and technology systems (email, Facebook, Wikis etc). In addition Elisabeth had a long career in records and information management within both the public and private sectors, most recently establishing the Royal Household's records management, legal compliance and information security programmes. She is an active ARMA member and is currently part of an ARMA International Risk Working Party. She has published papers, articles and two books, and is on the editorial board of the new Information Rights Law Journal.



Mr. Salvatore Gnoni, Desk Officer, Securities Market Unit, DG Internal Market and Services, European Commission

Abstract: Introduction to MiFID

The presentation will focus on Directive 2004/39/EC (MiFID) and its Implementing measures in the context of the Financial Services Action Plan (FSAP). The Lamfalussy four-level approach will be shortly described. MiFID requirements will be mentioned in the main areas: organisational requirements; rules of conduct; transparency rules; cross border provision of services; supervisory cooperation. Record keeping obligations in MiFID and in the "level 2" Directive will be presented.

Bio: Mr Salvatore Gnoni is seconded at the European Commission as national expert in the Unit Securities Markets. He is an attorney. He has worked as Senior Office for the Italian Securities Regulator since 2002. In his activity he had assignments in different areas of the supervision on banks and investment firms: authorisations, on-going supervision, regulatory work, including the participation to CESR (MiFID Intermediaries Group) and IOSCO works. Before this experience, he worked as Officer in the Bank of Italy in the field of the supervision on financial institutions and cooperated with various Professors of Civil and Commercial Law at the Università Cattolica of Milan.





Mr. PJ Di Giammarino, CEO, JWG - IT Group Ltd.

Abstract: Implications for Record Management

Bio: Former COO IT at Barclays Capital, PJ is the founder and CEO of JWG-IT Group Limited. Prior to commercialising this entity, he co-chaired the IT Subject Group of the MiFID Joint Working Group. In this capacity he collaborated with sell-side and buy-side firms, the European Commission, CESR, FSA, HM Treasury and their counterparts across Europe as well as the IT and market data supplier communities. He has a proven track record in running IT services while successfully executing large-scale change and counts a number of top tier firms as his clients. He has spent 14 years in management consultancy with EDS/A.T. Kearney, Booz Allen & Hamilton and McKinsey, serving global companies outside the financial services industry. He is a frequent author and public speaker on topics including EU regulation, low latency and financial services infrastructure.

He serves as a Wholesale Banking Technology Innovation Award judge for the Financial Times' *The Banker* magazine, the Worldwide Business Research Technology awards and the Financial News Awards for IT excellence.

About JWG-IT: JWG-IT is the only Financial Services industry think-tank to facilitate collaborative work to resolve industry issues created by regulatory change. Based on a working model started in 2005, JWG-IT has established strong relationships with EU administrators, leading firms and technology companies. It is neither lobbyist nor consultancy and revenues are restricted to membership fees and content sales. The JWG-IT Think-Tank is designed to help members and participants manage regulatory-driven change better, quicker, cheaper and with less risk. For more information, please visit: www.jwg-it.eu or email info@jwg-it.eu.



Ms. Lynn Coleman, Regional Records Manager, Credit Suisse

Bio: Lynn Coleman has pursued a career in records management as a practitioner and academic. She has extensive experience in records management, including 11 years in the financial services sector. She is an information professional who has filled various roles including: European records manager posts with prominent Swiss and American investment banks (at the latter advising on MiFID record keeping requirements); records consultant with a leading UK retail bank and on behalf of British aid reform programmes in East and West Africa; records analyst with the UK business of a global oil company; and part-time lecturer in records management in universities in Australia and the UK



Ms. Linda Mauperon, Member of Viviane Reding's Cabinet (European Commissioner for Information Society and Media), European Commission

Abstract: European E-Health Policy

Bio: Linda Mauperon has been a Member of Mrs Viviane Reding's Cabinet, European Commissioner in charge of Information Society and Media, for slightly more than one year. She deals with all matters related to the use of Information and Communication Technologies at the service of the citizen and with Future and Emerging Technologies. The issues at stake range from e-Inclusion, e-Government, e-Health to the GEANT high speed, high quality fibre network that enables data to circulate between 3900 universities and research centers in over 40 European countries and many more worldwide. At the moment, environmental issues and the way ICT can make a major contribution in the fight against climate change are on the top of this agenda. In the broader context, Mrs Reding's 2008 priority is to have the telecoms package adopted before the end of the year. This objective is shared by the French Presidency. The roaming issue is also a major challenge. Before joining the Commission in 1993, Linda Mauperon worked in the private sector where she was following European affairs and policies in the various positions she occupied. Working with the Commission has given her the chance to broaden her outlook beyond agriculture which forms her higher educational background. Since becoming an EU official, she has had the opportunity to work on several reforms in the agricultural sector, to elaborate budget forecasts and contribute to the annual budgetary exercise, to carry out coordination and organisational tasks as Assistant to a Deputy Director General and more recently she worked as a Member of two Cabinets with Commissioners Franz Fischler and Mariann Fischer Boel.



Ms. Angelika Händel, AHIMA

Abstract: Records Management Aspects of E-Health

A short presentation on e-Health will inform the panel discussion by summarizing some global themes, factors and drivers for cooperative action on e-Health as revealed through international dialogue and research facilitated by AHIMA. The presentation will also reveal very practical first-hand examples, learning and experience related to the development of a web-based electronic patient record with common access to all relevant patient data as part of healthcare reform.

Bio: Angelika Händel is a formally trained professional health information manager employed at the Friedrich-Alexander-University in Erlangen, Germany with responsibilities for "integrative care" projects between the University Eye Hospital, health insurance companies and ophthalmologists involving health data collection and exchange.

Angelika is a member of AHIMA, the German Association for Professionals in the Field of Medical Documentation (DVMD), the International Federation of Health Records Organizations (IFHRO) as Director for Europe, member of the European Regional Team (ERT) and executive committee and also the editorial teams of DVMD Journal and IFHRO Global News. She holds Bachelor of Science and Master of Arts





Dr. Florent Frederix, Head of Sector Networked Enterprise & Radio Frequency Identification Unit, European Commission

Abstract: RFID and the Internet of “Things”

This session will present the policy project of the European Commission with respect to mass deployment of radio identification (RFID) solutions and the emerging Internet of "Things". The overview will document ongoing work on the planned recommendation to member states on RFID and the scheduled activities towards the emerging "Internet of Things". The session will build on the recent publications of the European Commission and the European Economic and Social Committee on the Internet of Things.



Bio: Mr Florent Frederix joined the European Commission in 2001 and currently heads the RFID sector in the Networked Enterprise and Radio Frequency Identification unit of the Information Society and Media Directorate-General of the European Commission. Prior to taking up responsibility for the RFID sector, he was a scientific officer with responsibility for research projects managed by the Directorate General. Before joining the EU Commission, he spent 20 years in the Information and Telecom industry. His educational background includes Electronics, Computer Science and he holds Doctoral degree in Economics.

Mr. Laurent Beslay, Scientific Adviser, European Data Protection Supervisor

Abstract: Technology: Privacy Considerations

This session will present the policy project of the European Commission with respect to mass deployment of radio identification (RFID) solutions and the emerging Internet of "Things". The overview will document ongoing work on the planned recommendation to member states on RFID and the scheduled activities towards the emerging "Internet of Things". The session will build on the recent publications of the European Commission and the European Economic and Social Committee on the Internet of Things.

Bio: Laurent Beslay works as scientific adviser of the European Data Protection Supervisor, Brussels since September 2004. He previously worked, for six years, in the Joint Research Centre of the European Commission, the IPTS (Institute for Prospective Technological Studies) as a project officer in the field of cyber security. He holds a Post-master's degree in Global Management of Technological Risks and Crisis (University of Paris, la Sorbonne) and a Master's degree in International Relations.



Mr. Alan A. Andolsen CMC, CRM, President Naremco Services Inc.

Bio: Alan A. Andolsen is a Certified Management Consultant, Certified Records Manager, and President of the Institute of Certified Records Managers, an international certifying organisation of and for professional records and information managers. The ICRM was incorporated in 1975 to create the CRM designation by which persons involved in records and information management could be measured, accredited, and recognised in accord with criteria of experience and knowledge established by their peers.

Alan is also President of Naremco Services Inc., a management consulting firm founded by Emmett Leahy that has been providing information management services since 1948. During the past two decades, he has pioneered practical techniques for the management of digital records and lectured on their application in Japan, Europe, China, and throughout the United States and Canada. Alan has lectured on business ethics at the University of Dayton, Rutgers and Monmouth Universities, and presented papers on business ethics topics in the United States, Poland, Slovenia, and Greece.



Alan serves as a member of ARMA International's Strategic Planning and Professional Issues Committees and has served on its Task Forces on E-Mail Standards and Record Centers.
